

Docket No. 4208-4148



27123

↑CUSTOMER NUMBER↑

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jan-Erik Ekberg et al.

Group Art Unit: 2661

Serial No.: 10/662,470

Examiner: Unassigned

Filed: September 16, 2003

For: MECHAISM FOR IMPROVING CONNECTION CONTROL IN PEER-TOPEER AD-HOC NETWORKS

TRANSMITTAL OF RELATED PENDING APPLICATIONS 37 C.F.R. §1.98(a)2(iii)

Mail Stop ____
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is a Related Case Submission listing related pending applications of the above-identified application, pursuant to 37 C.F.R. §1.98(a)2(iii).

- ☐ A copy of each cited pending application is attached.
A copy of each related application(s) was submitted in parent application serial no. _____, filed _____.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. 13-4500, Order No. 4208-4148. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: March 8, 2007

By: _____

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Jan-Erik EKBERG et al.
Serial No.: 10/662,470
Filed: September 16, 2003
For: MECHANISM FOR IMPROVING CONNECTION CONTROL IN PEER-TO-PEER
AD-HOC NETWORKS

Group Art Unit: 2661
Examiner: To be assigned

INFORMATION DISCLOSURE STATEMENT

Mail Stop _____
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to Rule 56, applicant hereby calls the attention of the Patent Office to the references listed on the attached Form PTO 1449.

Copy(ies) of these references:

- ☒ Foreign Patent Applications, Foreign Patents and/or Other Non-Patent Documents are attached (Copies of cited U.S. Patents/Publications are not provided).
- ☒ Were filed in related application U.S. Serial No(s) 11/067,764; 10/662,469; 10/784,215; 11/102,761; 11/125,273; and 10/662,407, filed March 8, 2007, respectively.
- ☐ This document is being filed within three (3) months of the filing date of the application
- ☒ A check for the requisite fee of \$180 is enclosed.
- ☐ This document is being concurrently filed with the above-identified application
- ☐ This document is being concurrently filed with a Request for Continued Examination (RCE)
- ☒ This document is being filed prior to a first Office Action
- ☐ This document is accompanied by a Search Report/Communication cited in a corresponding PCT or foreign counterpart application.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 13-4500, Order No. 4208-4148. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: March 8, 2007

By:

John E. Hoel 03/08/2007 SZEWDIEI 00000098 134500 10662470
Registration No 126,279 180.00 DA

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The PTO did not receive the following
listed item(s) A check \$ 180.-



RELATED CASE SUBMISSION

Attorney Docket:
4208-4148

Serial No.:
10/662,470

Applicant:
Jan-Erik EKBERG

Filing Date:
September 16, 2003

Group Art Unit:
2661

RELATED PENDING APPLICATIONS

Examiner Initial		Serial No.	Filing Date	Inventor(s)	Patent No. (if applicable)	Issue Date (if applicable)
	1.	10/662,469	09/16/2003	Ekberg et al.	Publication No.: 2005/0058108	Published: 03/17/2005
	2.	10/801,598	03/17/2004	Kotola	Publication No.: 2005/0208892	Published: 09/22/2005
	3.	10/662,407	09/16/2003	Ekberg et al.	Publication No.: 2004/0087274	Published: 05/06/2004
	4.	10/784,215	02/24/2004	Sovio et al.	Publication No.: 2005/0059376	Published: 03/17/2005
	5.	11/067,764	03/01/2005	Zilliacus et al.	Publication No.: 2006/0199533	Published: 09/07/2006
	6.	11/102,761	04/11/2005	Ekberg et al.	Publication No.: 2005/0185660	Published: 08/25/2005
	7.	11/125,273	05/10/2005	Markki et al.	Publication No.: 2006/0258338	Published: 11/16/2006
	8.	11/142,766	05/31/2005	Kotola et al.	Publication No.: 2006/028896	Published: 11/30/2006
	9.					
	10.					
	11.					
	12.					
	13.					
	14.					
	15.					
	16.					
Examiner			Date Considered			



FORM PTO-1449A

INFORMATION DISCLOSURE CITATION

Attorney Docket:
4208-4148

Serial No.:
10/662,470

Applicant:
Jan-Erik EKBERG

Examiner:
Unassigned

Filing Date:
September 16, 2003

Group Art Unit:
2661

U.S. PATENT / PUBLICATION DOCUMENTS

Examiner Initial		Patent/Publication Number	Publication/Issue Date	Name	Filing Date
	1.	5,819,039	10/06/1998	Morgaine	
	2.	6,198,941	03/06/2001	Aho, et al.	
	3.	6,219,969 B1	04/17/2001	Wynblatt et al.	
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	12.	6,591,266 B1	07/08/2003	Li et al.	
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	14.	2003/0208522 A1	11/06/2003	McDonnell et al.	
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	16.	6,657,713 B2	12/02/2003	Hansen	
	17.	2003/0228842 A1	12/11/2003	Heinonen et al.	
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	20.	2004/0063980 A1	04/01/2004	Raths et al.	
	21.	2004/0075675 A1	04/22/2004	Raivisto et al.	
	22.	2004/0087274 A1	05/06/2004	Ekberg et al.	
	23.	6,765,474 B2	07/20/2004	Eaton et al.	
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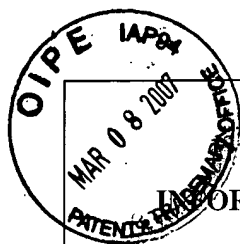
Examiner	Date Considered
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.	

FORM PTO-1449A INFORMATION DISCLOSURE CITATION	Attorney Docket: 4208-4148	Serial No.: 10/662,470
	Applicant: Jan-Erik EKBERG	Examiner: Unassigned
	Filing Date: September 16, 2003	Group Art Unit: 2661

	29.	6,909,721 B2	06/21/2005	Ekberg et al.	
	30.	2005/0239494 A1	10/27/2005	Klassen et al.	
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	33.	7,028,032 B1	04/11/2006	Diedrich et al.	

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Examiner Initial		Patent Number	Publication Date	Country	Copy Filed	Translation
	34.	WO 01/31960 A1	05/03/2001	WIPO	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> Yes <input type="checkbox"/> No
	35.	WO 2001/95592 A1	12/13/2001	WIPO	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> Yes <input type="checkbox"/> No
	36.	EP 1246487 A2	02/10/2002	European	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> Yes <input type="checkbox"/> No
	37.	EP 1207707 A1	05/22/2002	European	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> Yes <input type="checkbox"/> No
	38.	WO 2002/071285 A1	09/12/2002	WIPO	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> Yes <input type="checkbox"/> No
	39.	EP 1242986 B1	09/25/2002	European	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> Yes <input type="checkbox"/> No
	40.	EP 1246487 A3	10/02/2002	European	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> Yes <input type="checkbox"/> No
	41.	EP 123540 A2	10/30/2002	European	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> Yes <input type="checkbox"/> No
	42.	JP 2003/016347 A1	01/17/2003	Japan	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> Yes <input type="checkbox"/> No
	43.	WO 03/055150 A2	07/03/2003	WIPO	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> Yes <input type="checkbox"/> No
	44.	WO 03/055238 A1	07/03/2003	WIPO	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> Yes <input type="checkbox"/> No
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	46.	WO 04/038541 A2	05/06/2004	WIPO	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> Yes <input type="checkbox"/> No
	47.	EP 1392023 A3	02/25/2004	European	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> Yes <input type="checkbox"/> No
	48.	WO 2004/091143 A2	10/21/2004	WIPO	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> Yes <input type="checkbox"/> No
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	52.	WO 2006/092688 A2	09/08/2006	WIPO	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> Yes <input type="checkbox"/> No

Examiner	Date Considered
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.	



FORM PTO-1449B INFORMATION DISCLOSURE CITATION	Attorney Docket: 4208-4148	Serial No.: 10/662,470
	Applicant: Jan-Erik EKBERG	Examiner: Unassigned
	Filing Date: September 16, 2003	Group Art Unit: 2661

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	1.	"Assigned Numbers", article [online], Bluetooth SIG, Inc., 1999-2001 [2 pages retrieved on 2003-09-03]. Retrieved from the Internet: < http://www.bluetoothsig.org/assigned-numbers/ >
	2.	"Assigned Numbers – Bluetooth Baseband", article [online], Bluetooth SIG., Inc. 1999-2001 [7 pages retrieved on 2003-09-03]. Retrieved from the Internet < http://www.bluetoothsig.org/assigned-numbers/baseband.htm >
	3.	"Assigned Numbers – Link Manager Protocol (LMP)", article [online], Bluetooth SIG, Inc. 1999-2001 [1 page retrieved on 2003-09-03]. Retrieved from the Internet < http://www.bluetoothsig.org/assigned-numbers/lmp.htm >
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	5.	"Assigned Numbers – Service Discovery Protocol (SDP)", article [online], Bluetooth SIG., Inc. 1999-2001 [11 pages retrieved on 2003-09-03]. Retrieved from the Internet < http://www.bluetoothsig.org/assigned-numbers/sdp.htm >
	6.	"Assigned Numbers – Service Discovery Protocol (SDP)", article [online], Bluetooth SIG., Inc. 1999-2001 [5 pages retrieved on 2003-09-03]. Retrieved from the Internet < http://www.bluetoothsig.org/assigned-numbers/host.htm >
	7.	"Assigned Numbers – Company Identifiers", article [online], Bluetooth SIG., Inc. 1999-2001 [3 pages retrieved on 2003-09-03]. Retrieved from the Internet < http://www.bluetoothsig.org/assigned-numbers/company.htm >
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	9.	"All About ISOC", web page [online], Internet Society, 2002 [2 pages retrieved on 2003-10-23]. Retrieved from the Internet: < http://www.isoc.org/isoc/ >
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	13.	Balfanz et al. "Talking to Strangers: Authentication in Ad-Hoc Wireless Networks", Xerox Palo Alto Research Center, (Date Unknown), 13 pages.
	14.	Kammer et al., "Bluetooth Application Developer's Guide: The Short Range Interconnect Solution", Syngress Publishing, Inc., 2002, pages 1-68.
	15.	Bobba et al., "Bootstrapping Security Associations for Routing in Mobile Ad-Hoc Workstations, IEEE Global Telecommunications Conference Proceedings; San Francisco, CA Dec. 1-5, 2003, IEEE Global Telecommunications Conference Proceedings, NY, NY: IEEE US, vol. 7 of 7; Dec. 1, 2003; pgs. 1511-1513; GLOBCOM 2003; ISBN: 0-7803-7974-8.
	16.	"Windows NT Workstation"; 2 Nov 2003; pgs. 1-14; XP002323988; Retrieved from Internet: www.meetsoon.com/sid3.html ; April 11, 2005.
	17.	Kolsi et al.; "MIDP 2.0 Security Enhancements" System Sciences, 2004; Proceedings of the 37 Annual Hawaii International Conference on Jan. 5-8, 2004, Piscataway, NJ; IEEE, Jan. 5, 2004, pgs. 287-294, XP010682881; ISBN: 0-7695-2056-1.
	18.	PCT International Search for PCT/IB2006/001347, October 24, 2006, 4 pages

Examiner Signature	Date Considered
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